



**Hyderabad (Sind) National
Collegiate University**
(A State Public University)

**Handbook for Research
Guides and Research
Scholars**

23rd August, 2025

PREFACE

The Research and Development Cell of Hyderabad (Sind) National Collegiate University, Mumbai, places a strong emphasis on research, aiming to make significant contributions across diverse fields. The Cell is committed to fostering a culture of inquiry, encouraging research scholars, academic staff and students to engage in impactful projects that elevate academic excellence and promote societal progress.

Since its inception, HSNC University, Mumbai, has been instrumental in establishing numerous research initiatives, securing both international and national funding and fostering collaborations with institutions worldwide. Over the past five years, the University has established 23 research centers and laboratories, creating a dynamic and conducive environment for innovation and discovery.

The initiation of D.Sc. and D.Litt. programs stands as a testament to the University's commitment to encouraging senior scientists. The faculty actively publishes papers in national and international journals of repute and participates in meaningful deliberations throughout the year. This booklet will serve as a valuable reference for research guides and scholars, assisting them in successfully navigating the Ph.D. journey at Hyderabad (Sind) National Collegiate University, Mumbai.

Col. Prof. Hemlata K. Bagla

*Chairperson,
Research and Development Cell;
Vice Chancellor,
HSNC University, Mumbai;*

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ABOUT HSNC UNIVERSITY, MUMBAI

HSNC University, Mumbai (A State Public University) approved by Rashtriya Uchchatar Shiksha Abhiyan (RUSA) and the Govt. of Maharashtra was launched in the year 2020. It brought together three of South Mumbai's most prestigious colleges viz, HR College of Commerce & Economics, KC College of Arts, Commerce & Science and Bombay Teachers' Training College (BTTC) as its Constituent Colleges. Since its establishment, HSNC University, Mumbai, has set high benchmarks in academics, research and holistic human development. In a relatively short span of time and under the dynamic leadership of Immediate Past-Provost Dr. Niranjana Hiranandani, Current Provost Shri Anil Harish and Vice Chancellor Col. Prof. Hemlata K. Bagla, HSNC University has emerged as a beacon of hope and innovation in the higher education landscape in Maharashtra.

HSNC University, Mumbai has introduced several Schools offering Integrated Multi-disciplinary Academic Programs. These include the Niranjana Hiranandani School of Management and Real Estate (NHSMRE), D. M. Harish School of Law (DMHSL), School of Humanities and Social Sciences (SHSS), School of Applied Sciences (SAS), School of Interdisciplinary Studies (SIS), School of Performing Arts (SOPA), Chellaram School of Yoga and Wellness (CSOYW) and School of Engineering & Technology (SOET). The programs span undergraduate, postgraduate and doctoral levels, providing a robust academic foundation and practical experience. The University offers 50 undergraduate courses, 32 postgraduate courses and 23 Ph.D. programmes, across a wide array of disciplines with immense opportunities for students to pursue their passions and interests. The programmes offered under different Schools reflect the interdisciplinary ethos with conversations across disciplines, leading to a holistic and integrated learning process.

In June 2022, the University conferred its first Hon. D. Litt. degree upon the eminent Shri Ratan Tata in its First Special Convocation held at Darbar Hall, Raj Bhavan. As part of its commitment to thought leadership and youth empowerment, HSNC University launched the flagship annual 'Harbinger of Change – Stories of Transformation' Lecture Series in 2022. The premier lecture was delivered by Nobel Peace Laureate Shri Kailash Satyarthi, who inspired audiences with his lifelong commitment to child rights and social justice. The second edition featured Dr. Kiran Bedi, India's first woman IPS officer, who captivated and motivated the youth with powerful insights and transformative stories from her trailblazing journey.

Recognising the need for a robust research ecosystem that encourages interdisciplinary research and knowledge creation HSNC has 23 research centres, 63 research guides and 107 research scholars working on a wide array of meaningful and significant themes. We have also initiated D.Sc. and D.Litt. programmes. At HSNC University, we are committed to transformative research that creates meaningful impact and enriches lives across communities and sectors. In the academic year 2023-24, HSNC University, Mumbai, fully embraced the National Education Policy (NEP) 2020 across all of its Schools and Constituent colleges, positioning itself at the forefront of this transformative moment in India's educational landscape. Aligned with the visionary framework of NEP 2020, the University has implemented comprehensive curriculum reforms and innovative pedagogical practices to ensure the seamless integration of its core principles, flexibility, multidisciplinary learning and holistic student development.

VISION, MISSION AND OBJECTIVES

Vision

- Cultivating Eminence by Establishing Pioneering Centres of Excellence
- Empowering Learners with Quality Education
- Fostering Entrepreneurship, Advancing Cutting-edge Research
- Encouraging Boundless Innovation and Adapting Agile Responses to Technological and Socio-Economic Dynamics upholding human values

Mission - We are dedicated to achieving

- Excellence in Academics
- Innovation in Education
- National & International Engagements
- Technological Advancements
- Socially Responsible Citizens
- Leadership Development

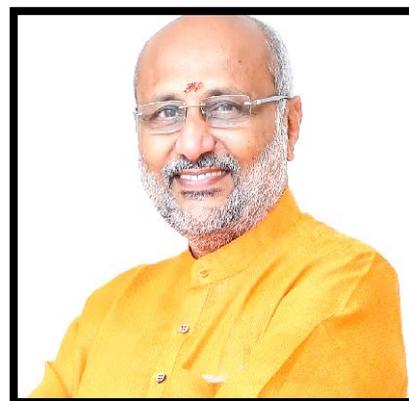
Objectives

- Equipping Proficient Professionals
- Cultivating Community Awareness Among Students
- Cultivating Change-Enabling Leaders
- Incorporating Cutting-edge Infrastructure
- Offering Exceptionally Trained Faculty for Student Development

LEADERSHIP AT HSNC UNIVERSITY, MUMBAI

SHRI C P RADHAKRISHNAN

**Hon'ble Governor of Maharashtra;
Chancellor, HSNC University, Mumbai**



H.E. Shri C. P. Radhakrishnan, a veteran politician and former businessman, has made enduring contributions to the political and developmental landscape of India. Born on May 4, 1957, in Tiruppur, Tamil Nadu, he served as a two-term Member of Parliament (Lok Sabha) representing the Coimbatore constituency in 1998 and 1999. His commitment to public service later saw him appointed as the Governor of Jharkhand from February 2023 to July 2024, during which he also held additional charge as Governor of Telangana and Lieutenant Governor of Puducherry. In July 2024, he was sworn in as the Governor of Maharashtra, where he continues to serve with distinction.

Shri Radhakrishnan holds a Bachelor of Business Administration (BBA) degree from V. O. Chidambaram College, Thoothukudi. In addition to his academic pursuits, he was an accomplished college-level table tennis champion and a long-distance runner, reflecting a lifelong commitment to physical discipline, endurance, and a balanced lifestyle. His early background in business provided him with keen insights into industry, trade, and labour dynamics, especially within the Small and Medium Enterprises (SME) sector.

Throughout his political career, Shri Radhakrishnan has been a staunch advocate for economic development, with a particular focus on industrial growth and labour reforms. Drawing on his business experience, he championed policies that sought a balance between industrial productivity and worker rights. His efforts have been especially influential in the Coimbatore region, where he supported the growth and moderniation of the textile industry, a critical pillar of Tamil Nadu's economy.

Recognising education as a fundamental driver of social and economic progress, Shri Radhakrishnan has consistently advocated for inclusive and quality education, especially for under-served communities. As Governor of Maharashtra, he has actively engaged with a wide spectrum of stakeholders, government officials, civil society, educational institutions and tribal communities, demonstrating a deep commitment to grassroots governance, tribal welfare and educational accessibility. Widely respected for his integrity, humility and ability to maintain cordial relations across party lines, Shri Radhakrishnan continues to uphold the dignity and impartiality of the constitutional office he holds.

SHRI ANIL HARISH

Provost, HSNC University, Mumbai



Mr. Anil Harish is a distinguished legal professional, academic leader and corporate advisor with over 35 years of experience in the practice of law. He is also a Partner at D. M. Harish & Co., a renowned Mumbai-based law firm specialising in property law, taxation, exchange control and succession planning.

A graduate of the University of Mumbai and the University of Miami (LL.M.), Mr. Harish has built a multifaceted career encompassing legal practice, education and philanthropy. He has served on the boards of several public companies and has been an active member of professional legal bodies, including the Managing Committee of Indian Merchants Chamber, the Society of Indian Law Firms, Chamber of Tax Consultants and the ITAT Bar Association. Mr. Harish is a much sought after speaker in India and abroad and has given several professional speeches and has authored several articles which have been published in the leading newspapers and journals of the country.

As Provost of HSNC University and a Trustee of the Hyderabad (Sind) National Collegiate Board (HSNCB), Mr. Harish has played a pivotal role in expanding the University's academic offerings and infrastructure. Under his leadership as President, HSNC Board grew to encompass 25 educational institutions, serving over 50,000 students.

Mr. Harish's commitment to accessible justice is exemplified by initiatives such as the launch of the Indira Jaising Legal Aid Clinic at the D. M. Harish School of Law, aimed at providing legal assistance to those in need. His guiding philosophy centered on sincerity, commitment and consistent effort continues to shape his impactful contributions to the legal and educational landscape of India.

COL. PROF. HEMLATA K. BAGLA**Vice Chancellor, HSNC University, Mumbai**

Col. Prof. (Dr.) Hemlata K Bagla, a distinguished scholar and trailblazing educator, presently holds the esteemed position of the Vice Chancellor at HSNC University, Mumbai and concurrently holds the position of Director at the Niranjana Hiranandani School of Real Estate (NHSRE). Driven by a passion to spearhead transformative, inclusive and meaningful education, Prof. Bagla mooted the idea of HSNC University.

Prior to her current role, she served as the Senior Dean, HSNC University and Principal, KC College, where her academic leadership left an indelible mark. As Vice Chancellor, she has been instrumental in establishing eight Schools within the University in a remarkably short span of five years. These Schools encompass diverse domains such as Law, Management & Real Estate, Applied Sciences, Performing Arts, Yoga and Wellness, Interdisciplinary Studies and Engineering. Her visionary leadership has enabled the meticulous planning, organisation and implementation of cutting-edge academic and professional courses.

An academic of international repute in the field of Nuclear and Radiochemistry, Prof. Bagla's research interests lie at the intersection of chemical, radiochemical and biomedical domains. With an illustrious academic career of over three decades, Prof. Bagla's accomplishments have been phenomenal, with over 200 research papers published in national and international journals of repute, including ten in NASA's Astrophysical database.

Prof. Bagla's multidisciplinary research continues to push the boundaries of innovation and sustainability, contributing transformative solutions for global challenges. Her work is closely aligned with the United Nations Sustainable Development Goals (SDGs), particularly in areas such as sustainable infrastructure, environmental protection and public health. Through her pioneering contributions, Prof. Bagla bridges the gap between advanced scientific research and real-world applications, promoting environmentally conscious practices and contributing to long-term sustainability.

CONSTITUENT COLLEGES AND SCHOOLS OF HSNB UNIVERSITY



HSNC UNIVERSITY, MUMBAI

(A State Public University)



H.R COLLEGE OF COMMERCE & ECONOMICS

Under Graduate Degree

- **BMS** - Recognized by AICTE
- **B.Com**
- **BAF**
- **BBI**
- **BFM**
- **BAMMC**
- **B.Com** Retail Management
- **B.Com** Tourism & Hospitality Management
- **B.Com** Wealth Management

Post Graduate Degree

- **M.Com.** in Advanced Accountancy
- **M.Com.** in Banking & Finance
- **M.Com.** in Business Management

PhD

- Business Economics
- Business Policy and Administration



KISHINCHAND CHELLARAM COLLEGE

Under Graduate Degree

- **BCA** - Recognized by AICTE
- **BMS** - Recognized by AICTE
- **B.Com**
- **B.Sc. (Physics)** - Condensed Matter Physics and Atmospheric Physics, **Chemistry** - Advanced Industrial and Applied Chemistry, **Life Sciences** - Neuroscience and Genetic Engineering, **Microbiology** - Medical Microbiology & Pharmaceutical Microbiology, **Statistics** - Business Research Analytics, **Mathematics** - Pure & Applied Math
- **B.A.** (Economics, Hindi, English, History, Sociology, Psychology, Economics-Commerce, Economics-Statistics, History-Hindi, Psychology-Sociology, Political Science)
- **B.Sc. (Biotechnology)** - Pharmaceutical Biotechnology)
- **B.Sc. (Computer Science)** - Artificial Intelligence and Machine Learning)
- **B.Sc. (Information Technology)** - Cloud Computing)
- **BAF**
- **BBI**
- **BFM**
- **B.A.** (Film Making & Media Production)
- **BAMMC** (Journalism & Advertising)
- **B.A.** (Entertainment & Sports Management)
- **B.Sc.** (Web Technologies)

Post Graduate Degree

- **Introducing** M.A in Strategic Communication
- **M.Sc.** (Nuclear & Radiochemistry by Research)
- **M.Sc.** Life Science (Sustainable Development)
- **M.Sc.** (Chemistry by Research and Papers) (4)
- **M.Sc.** (Statistics)
- **M.Sc.** (Computer Science)
- **M.Sc.** (Microbiology by Research)
- **M.Sc.** (Information Technology)
- **M.A.** Hindi
- **M.A.** (Communication & Journalism)
- **M.A.** (Entertainment, Media & Advertising)
- **M.A.** Psychology
- **M.Com.** Adv. Accountancy

PhD

- Nuclear & Radiochemistry
- CS & IT
- Biotechnology
- Chemistry
- Life Science
- Hindi
- Microbiology
- Psychology
- Statistics



BOMBAY TEACHERS' TRAINING COLLEGE

- **B.Ed.**
- Early Childhood Education Program
- **Ph.D** in Education

ADMISSIONS OPEN

+91-86559-62502

askmeanything@hsncu.edu.in

www.hsncu.edu.in





HSNC UNIVERSITY, MUMBAI

(A State Public University)



SCHOOL OF APPLIED SCIENCES

Introducing B.Sc. in Actuarial Science & Finance
Introducing M.Sc. in Finance
B.Sc. in Sound Engineering
B.Sc. in Data Science and Business Analytics
M.Sc. in Data Science and Business Analytics



NIRANJAN HIRANANDANI SCHOOL OF MANAGEMENT AND REAL ESTATE

Recognized by AICTE

BMS 3 & 4 Years (Hons)
 with Specialization in:

- Logistics & Supply Chain Management
- Global Business & International Trade
- Real Estate & Urban Infrastructure Management
- Digital Business & E-Commerce

BBA 3 & 4 Years (Hons)
 with Specialization in:

- Fintech
- Marketing
- Human Resource Management
- Entrepreneurship & Innovation Management

MBA

- Real Estate Management
- Finance
- Marketing
- Entrepreneurship
- Business Analytics

Ph.D in Management



SCHOOL OF PERFORMING ARTS

Introducing Bachelors of Arts in Music Production
Bachelors in Performing Arts (Theatre)
Masters in Performing Arts (Music / Dance / Theatre)
Ph.D in Performing Arts



D.M. HARISH SCHOOL OF LAW

Recognized by the Bar Council of India

B.A. LLB - 5 Years Integrated Program
BBA LLB (Hons) - 5 Years Integrated Program
LLB - 3 Years



CHELLARAM SCHOOL OF YOGA AND WELLNESS

B.Sc. in Yoga & Wellness
M.Sc. in Yoga & Wellness
Ph.D in Yoga & Wellness



SCHOOL OF INTERDISCIPLINARY STUDIES

Introducing PG Diploma in Political Leadership & Governance
M.A. in Liberal Studies
Ph.D in Library and Information Sciences
Ph.D in Geography & Environment Management



SCHOOL OF HUMANITIES AND SOCIAL SCIENCES

M.A. in Economics
M.A. in Sindhi (Language Culture and Synthesis)
M.A. in Education
Ph.D in Economics
Ph.D in Sociology
Ph.D in English



Introducing SCHOOL OF ENGINEERING & TECHNOLOGY

B.Tech in Computer Engineering
B.Tech in Computer Science & Engineering (Artificial Intelligence & Machine Learning)

ADMISSIONS OPEN

+91-86559-62502

askmeanything@hsncu.edu.in

www.hsncu.edu.in



RESEARCH AND DEVELOPMENT CELL

Recognising the need for impactful and transformative research, a Research and Development Cell has been constituted which encourages action oriented and collaborative research that can lead to novel research insights, innovative solutions and the potential for breakthrough discoveries. A robust and dynamic research culture thrives across all Schools and Constituent Colleges of the University, fostering scientific temper and nurturing the research aptitude of scholars through a wide range of academic and scholarly activities. The Cell plays a vital role in identifying and securing research grants and funding opportunities. It supports researchers in developing high-quality proposals, fosters networking and collaboration, facilitates the publication of research findings and enhances research skills and competencies.

MEMBERS OF RESEARCH AND DEVELOPMENT CELL

Prof. Hemlata K. Bagla, Vice Chancellor,

Chairperson, Research and Development Cell,
HSNC University, Mumbai.

vc@hsncu.edu.in

98214 20698

Dr. Ashok Pandey

Director, RDC and Principal Scientific Advisor,
HSNC University, Mumbai,

Former-Research Professor, BARC

R & D Cell – Convenor

ashok.pandey@kccollege.edu.in

9869786696

Committee – 1 – Finance & Infrastructure

Professor (Dr.) Tejashree V. Shanbhag I/C, Principal, K.C. College	9892370263	tejashree.shanbhag@kccollege.edu.in
Mr. Rajkumar Nagpal Finance & Accounts Officer, HSNC University, Mumbai	9820042321	fao@hsncu.edu.in
Ms. Kajal Tahilyani, Sr. Accountant	9503647734	kajal.tahilyani@kccollege.edu.in

Committee – 2 – Research Program, Policy Development (RPPD)

Dr. Bhagwan Balani Registrar, HSNC University, Mumbai Principal, BTT College	9869252901	balani1202@yahoo.com
Dr. Leena Pujari, Dean, Interdisciplinary Studies and Professor, Sociology, KC College	9820474197	leena.pujari@kccollege.edu.in
Dr. Madhuri Tikam Director-Knowledge Resource Centre, Librarian, HR College	9821569536	dr.madhuritikam@gmail.com

Committee – 3 – Collaboration & Community

Dr. Pooja Ramchandani, Dean, Faculty of Commerce & Management, HSNC University, Mumbai and Principal, HR College	9892837112	hrcollegeprincipal2020@gmail.com
Dr. Shalini R. Sinha, Dean, Humanities and Social Sciences and Professor, Department of English	9869431342	shalini.sinha@kccollege.edu.in
Dr. Satish Kolte, Professor and Head, Department of Chemistry and Director, NSS, HSNC University	9821086024	satish.kolte@hsncu.edu.in
Dr. Sejal Rathod Professor and Head, Department of Microbiology, KC College	9930082028	sejal.rathod@kccollege.edu.in
Dr. Neelu Verma Associate Professor, Bombay Teachers' Training College	9322364247	drneelu@bttc.edu

Committee – 4 – Product Development, Monitoring and Commercialisation

Dr. Rajesh Mankani Academic Head, Niranjana Hiranandani School of Management and Real Estate, HSNC University, Mumbai	8369912384	rajesh.mankani@hsncu.edu.in
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Dr. Jehangir Bharucha Sr. Vice Principal-HR College	9820070287	jehangir.bharucha@hrcollege.edu.in
Dr. Rajesh Samant, Vice Principal and Professor, Department of Chemistry, KC College	9869484326	rajesh.samant@kccollege.edu.in
Dr. Rani Tyagi, Vice Principal and Professor, HR College	9869435890	ranityagi@gmail.com
Dr. Suvarna Sharma, Assistant Professor, Department of Life Sciences, KC College	9869525362	suvarna.sharma@kccollege.edu.in
Dr. Ritika Pathak, Vice Principal and Head, Dept of Commerce (Professional Courses), KC College	9819690065	ritika.pathak@kccollege.edu.in

Committee – 5 – IPR, Legal and Ethical Matters

Mr. Pradeep Patil - Legal Advisor, HSNC University, Mumbai	9821207964	pradeepkpatil@yahoo.co.in
Ms. Geeta N. Brijwani, Assistant Professor, Department of Computer Science, KC College	9890857969	geetabrijwani@yahoo.com
Dr. Rakhi O. Gupta, Director(Ag) Industry Linkages and IPR, HSNC University and Head, Department of IT, KC College	9619914191	rakhi.gupta@kccollege.edu.in

HSNC UNIVERSITY RESEARCH CENTRES

Sr. No.	Faculty	Subject	Name of the Teacher	Sub-Centre
1	Commerce & Management	Business Policy & Administration	Dr. Pooja Ramchandani	H. R. College
2	Commerce & Management	Business Policy & Administration	Dr. Rita Khatri	H. R. College
3	Commerce & Management	Business Policy & Administration	Dr. Sodi Jasbir Kaur	H. R. College
4	Commerce & Management	Business Policy & Administration	Dr. Jaya Mangalani	H. R. College
5	Commerce & Management	Business Policy & Administration	Dr. Navin Punjabi	H. R. College
6	Commerce & Management	Business Policy & Administration	Dr. Tasneem Razmi	H. R. College
7	Commerce & Management	Business Policy & Administration	Dr. Kanu Priya Bharadwaj	H. R. College
8	Commerce & Management	Business Policy & Administration	Dr. Shweta Singh	H. R. College
9	Commerce & Management	Business Policy & Administration	Dr. Bhakti Mulchandani	H. R. College
10	Commerce & Management	Business Economics/ Economics	Dr. Geeta Sudhir Nair	H. R. College
11	Commerce & Management/Humanities & Social Sciences	Business Economics/ Economics	Dr. Ravikiran Garje	K. C. College
12	Commerce & Management	Accountancy	Dr. Atul Sathe	H. R. College
13	Commerce & Management	Business Economics	Dr. Syed Saleha Javed Abbas	H. R. College
14	Commerce & Management	Business Economics	Dr. Bharti Jethani	H. R. College
15	Commerce & Management	Business Economics	Dr. Mamta Rajani	H. R. College
16	Commerce & Management	Commerce	Dr. Amit Nandu	H. R. College
17	Commerce & Management	Commerce	Dr. Anjalli Vachhani	H. R. College
18	Commerce & Management	Commerce	Dr. Simran R. Kalyani	H. R. College
19	Commerce & Management/Interdisciplinary Studies	Environmental Management / Geography	Dr. Chandani Bhattacharjee	H. R. College
20	Commerce & Management/Interdisciplinary Studies	Environmental Management / Geography	Dr. Rani Tyagi	H. R. College

21	Commerce & Management	Banking & Finance	Dr. Kulvinder Kaur Batth	K. C. College
22	Commerce & Management	Banking & Finance	Dr. Ritika Pathak	K. C. College
23	Commerce & Management	Banking & Finance	Dr. Khushnuma Tata	K. C. College
24	Commerce & Management	Banking & Finance	Dr. Riya Nathani	K. C. College
25	Commerce & Management	Management Studies	Dr. Rajesh Lalchand Mankani	NHSMRE
26	Commerce & Management	Management Studies	Dr. Aarti Khanchandani	NHSMRE
27	Commerce & Management	Management Studies	Dr. Kea Thawani	NHSMRE
28	Science & Technology	Chemistry	Prof. (Dr.) Hemlata K. Bagla	K. C. College
29	Science & Technology	Chemistry	Dr. Rajesh A. Samant	K. C. College
30	Science & Technology	Chemistry	Dr. Satish S. Kolte	K. C. College
31	Science & Technology	Chemistry	Dr. Yogita M. Shinde	K. C. College
32	Science & Technology	Chemistry	Dr. Charulata Chaturvedi	K. C. College
33	Science & Technology	Chemistry	Dr. Mridula Gupta	K. C. College
34	Science & Technology	Life Sciences	Dr. Tejashree V. Shanbhag	K. C. College
35	Science & Technology	Life Sciences	Dr. Shalini A. Rai	K. C. College
36	Science & Technology	Life Sciences	Dr. (Ms.) Aashu Vajpai	K. C. College
37	Science & Technology	Life Sciences	Dr. Suvarna A. Sharma	K. C. College
38	Science & Technology	Biotechnology / Microbiology	Dr. Sejal Jitesh Rathod	K. C. College
39	Science & Technology	Biotechnology / Microbiology	Dr. Pratibha Jinesh Shah	K. C. College
40	Science & Technology	Microbiology	Dr. Rajitha Satish	K. C. College
41	Science & Technology	Physics	Dr. Jyotsna Rajiv Pandey	K. C. College
42	Science & Technology	Statistics	Dr. Sakharam Bhanudas Muley	K. C. College
43	Science & Technology	Computer Science & IT	Dr. Rakhi Gupta	K. C. College

44	Humanities & Social Sciences	Economics	Dr. Nandini Sen Gupta	K. C. College
45	Humanities & Social Sciences	Economics	Dr. Hiral Seth	K. C. College
46	Humanities & Social Sciences	Education	Dr. Bhagwan Balani	B TTC
47	Humanities & Social Sciences	Education	Dr. Mandeep Kochar	B TTC
48	Humanities & Social Sciences/Interdisciplinary Studies	Education / Performing Arts	Dr. Rajeev I. Jha	B TTC
49	Humanities & Social Sciences	Education	Dr. M.A. Ansari	B TTC
50	Humanities & Social Sciences	Education	Dr. Manisha Tyagi	B TTC
51	Humanities & Social Sciences	Education	Dr. Neelu Verma	B TTC
52	Humanities & Social Sciences	Education	Dr. Raju Talreja	B TTC
53	Humanities & Social Sciences	English	Dr. Paromita Chakrabarti	H. R. College
54	Humanities & Social Sciences	History	Dr. Priyamvada Sawant	H. R. College
55	Humanities & Social Sciences	English	Dr. Geeta Sahu	H. R. College
56	Humanities & Social Sciences	English	Dr. Shalini Sinha	K.C. College
57	Humanities & Social Sciences	Hindi	Dr. Ajeet Kumar Rai	K. C. College
58	Humanities & Social Sciences	Psychology	Dr. Rakhi Mehta	K. C. College
59	Humanities & Social Sciences	Psychology	Dr. Pooja Soni	K. C. College
60	Humanities & Social Sciences	Sociology	Dr. Leena Pujari	K. C. College
61	Inter-disciplinary Studies	Library & Information Science	Dr. Madhuri Vikram Tikam	H. R. College
62	Inter-disciplinary Studies	Library & Information Science	Dr. Priya Rajesh Pillai	B TTC
63	Inter-disciplinary Studies	Performing Arts	Dr. Krittika Mondal	SOPA

For queries, you may contact Assistant Registrar Mr. Sanjay Warde at HSNC University, Mumbai.

Email ID: assistant.registrar@hsncu.edu.in; Contact number: 7039159717

POWERS OF THE UNIVERSITY AND THE VICE CHANCELLOR

HSNC UNIVERSITY, Mumbai

Public State Cluster University

(Established under the HSNC University Act, Government of Maharashtra)

OFFICE OF THE VICE-CHANCELLOR

NOTIFICATION REGARDING RESEARCH GOVERNANCE FRAMEWORK

(To be read as Additional Ph.D. Ordinances of HSNC University)

Notification No.: HSNCU/R&D Cell/2022/___

Date: 29 / 09 / 2022

In exercise of the powers conferred under the HSNC University Act and the Statutes framed thereunder, the following Research Governance Framework is hereby notified and shall have the force of Additional Ph.D. Ordinances applicable to the entire University, its Schools, Departments, Constituent Colleges, Research Centres, Supervisors, Scholars, Examiners and all bodies connected with doctoral research.

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- Clause 1 – HSNC University Act & Statutes (Research Provisions)
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- Clause 4 – Disciplinary & Ethics Code
- Clause 5 – Referee Nomination Rules & COI Restrictions

CLAUSE 1: HSNC UNIVERSITY ACT & STATUTES (Research-Related Provisions)**1.1. Authority of the University**

The University shall regulate all matters relating to the governance, administration, evaluation and conferment of the Ph.D. degree, including but not limited to:

- 1.1.1 Admission, registration and continuation of doctoral scholars.
- 1.1.2 Recognition, duties and removal of Research Supervisors.
- 1.1.3 Constitution, powers and functioning of the Research & Recognition Committee (RRC).
- 1.1.4 Appointment, verification and approval of referees/examiners.
- 1.1.5 Conduct of pre-submission seminars, thesis evaluation and viva-voce.
- 1.1.6 Maintenance of academic integrity and prevention of conflict of interest.

1.2 Powers of the Vice-Chancellor

The Vice-Chancellor shall have the authority to:

- 1.2.1 Reject or disallow any compromised referee panel.
- 1.2.2 Dissolve and reconstitute the RRC where irregularities are detected.
- 1.2.3 Initiate disciplinary proceedings against Supervisors or officials violating rules.
- 1.2.4 Ensure compliance with UGC Regulations.
- 1.2.5 Safeguard the integrity, transparency and fairness of the doctoral evaluation process.

CLAUSE 2: UGC (MINIMUM STANDARDS AND PROCEDURE FOR AWARD OF Ph.D.) REGULATIONS, 2016 & 2022 (Extract for HSNC University)**2.1 Independence and Eligibility of Examiners**

Examiners appointed for thesis evaluation shall:

- 2.1.1 Be neutral and independent in judgement.
- 2.1.2 Possess requisite academic qualifications.
- 2.1.3 Have proven research credentials in the subject area.

2.2 Persons Disqualified from Appointment as Examiners

The following shall NOT be appointed as examiners:

- 2.2.1 Former or current students of the Supervisor.
- 2.2.2 Co-authors, collaborators or research associates of the Supervisor or Scholar.
- 2.2.3 Subordinates or reporting officers in the Scholar's workplace hierarchy.
- 2.2.4 Individuals holding academic or professional linkage that compromises impartiality.

2.3 Minimum Eligibility:

Examiners shall:

- 2.3.1 Possess a Ph.D. degree for not less than five years.
- 2.3.2 Have demonstrable research output.
- 2.3.3 Have experience in guiding or evaluating research.

2.4 Misconduct as per UGC Regulations:

UGC defines the following as misconduct:

- 2.4.1 Misrepresentation of credentials or relationships.
- 2.4.2 Suppression of conflict-of-interest.
- 2.4.3 Attempts to influence examiners or evaluation.
- 2.4.4 Collusion, bias, or interference in the process.

CLAUSE 3: CODE OF CONDUCT FOR RESEARCH SUPERVISORS

3.1 Obligations of Research Supervisors

Every Research Supervisor shall:

- 3.1.1 Maintain honesty, academic integrity and ethical conduct.
- 3.1.2 Provide accurate, complete and truthful information to the University.
- 3.1.3 Adhere strictly to the referee nomination rules and conflict-of-interest restrictions.
- 3.1.4 Avoid direct or indirect contact with appointed examiners.

3.2 Prohibitions

A Research Supervisor shall NOT:

- 3.2.1 Nominate former or current students as referees.
- 3.2.2 Nominate referees associated with the Scholar's workplace.
- 3.2.3 Influence or attempt to influence RRC or examiners.
- 3.2.4 Misrepresent or conceal information relating to referees.

3.3 Penalties

Violation shall result in:

- 3.3.1 Suspension or withdrawal of recognition as Supervisor.
- 3.3.2 Removal from RRC, Boards or University bodies.
- 3.3.3 Disciplinary action as per HSNC University Act.

CLAUSE 4: HSNC UNIVERSITY DISCIPLINARY & ETHICS CODE

4.1 Academic Misconduct

The following constitute academic misconduct:

- 4.1.1 Submission of conflicted or ineligible referee panels.
- 4.1.2 Concealment or falsification of material information.
- 4.1.3 Attempts to influence evaluation outcomes.
- 4.1.4 Communication with examiners outside official channels.

4.2 Procedural Violations

These include:

- 4.2.1 Incomplete or misleading referee information.
- 4.2.2 Violation of out-of-state referee requirement.
- 4.2.3 Recommendation of referees lower than the degree sought.
- 4.2.4 Misrepresentation of geographical location or qualification.

4.3 Disciplinary Measures

The University may impose:

- 4.3.1 Written warning.
- 4.3.2 Freezing of Ph.D. guidance privileges.
- 4.3.3 Removal from committees.
- 4.3.4 Referral to employer/governing body.
- 4.3.5 Any further action under the Act & Statutes.

CLAUSE 5: REFEREE NOMINATION RULES – MANDATORY & BINDING

5.1 Persons Prohibited as Referees

The following shall NOT be suggested as referees/examiners:

- i) Blood relatives of the Supervisor or Scholar.
- ii) Past students of the Supervisor (M.Sc., M.Phil., Ph.D.).
- iii) Retired teachers of HSNC University (Schools and Constituent Colleges).
- iv) Persons holding qualification lower than the degree being examined.
- v) Members of the RRC or connected evaluation bodies.
- vi) For Ph.D.: At least one referee must be from outside the State of Maharashtra.
- vii) Foreign referees may be proposed subject to full eligibility and absence of conflict-of-interest.

5.2 Mandatory Documentation

Each referee's name shall be accompanied by:

- 5.2.1 Full academic CV.
- 5.2.2 Current institutional affiliation.
- 5.2.3 Proof of eligibility.
- 5.2.4 Signed declaration of absence of conflict-of-interest.

5.3 Accountability

Any misrepresentation or concealment by the Supervisor shall constitute serious academic misconduct.

5.4 University's Rights

HSNC University may:

- 5.4.1 Reject any nominee.
- 5.4.2 Call for alternate names.
- 5.4.3 Independently verify referee credentials.
- 5.4.4 Initiate disciplinary action for violations.

CLAUSE 6: BINDING NATURE OF THIS FRAMEWORK

This Framework shall be binding upon:

- All Research Supervisors
- All Ph.D. Scholars
- All Examiners/Referees
- All RRC Members
- All Schools, Departments and Constituent Colleges of HSNC University

These Clauses shall be read as Additional Ph.D. Ordinances of HSNC University, effective from the date of notification.

Issued with the approval of the Competent Authority.



Ph.D. TIMELINE

1

- Application of Student
- Filling of online application portal

2

- Admission to be based on NET/SET scores:
 - Category I (JRF, Ph.D. and Teaching)
 - Category II (NET and Ph.D.)
 - Category III (Ph.D.) (*Details available on the Website*)
- **OR** HPET scores (conducted by the University) in the absence of NET/SET

3

- Interviews to be conducted by Research Center within 2 months of the publication of HPET result
- Research Center shall display the list of the selected candidates on notice board within 15 days of the interview
- Submission of interview report to the University within 15 days from the date of interview
- Issue of selection letter to the selected candidates after verification of documents within 30 days of the interview

4

- Link will be sent to the selected candidate for uploading the documents
- Respective research centers verify the documents submitted*
- Upon verification of documents by the concerned Research Center, fee link will be sent to the candidates for payment of admission fees

Documents to be submitted

- Class X Marksheet and Class XII Marksheet
- UG (Sem. I to VI) Marksheets and Convocation Certificate
- PG (Sem. I to IV) Marksheets and Convocation Certificate
- PET/ NET/ SET/ H-PET Marksheet
- Aadhaar Card



5

- Submission of Research Proposal to Guide within a month from the date of admission
- Research Centers/ Guide will constitute Research Advisory Committee (RAC) for discussing the candidate's research proposal
- After deliberations within the RAC and subsequent approval of the proposed topic by the RAC, candidates must submit five copies of the research proposal along with the Topic Approval Form to HSNCU - Thesis Section

6

- Course work of 16 credits to begin after RAC formalities are completed
- After completion of coursework, research scholars will have an option to revise their research proposal before submission to RRC (Research and Recognition Committee) for approval/ work on research proposal may run concurrently with coursework
- After RRC approval, students will be issued Topic Approval Letters
- If topic is not approved or if changes/suggestions are recommended by RRC, student must resubmit the proposal as advised

7

- Research scholar must submit duly filled Registration Form to the University along with requisite documents
- University will then issue Registration Number to the student

8

- RAC meetings to be held twice a year, where the scholar will present their work
- Research Centers will submit 6-month progress reports to HSNCU

9

- Synopsis to be submitted not before 34 months from the date of admission
- Scholar must make a presentation before the RAC one month before synopsis submission
- Thesis can be submitted anytime between 2 to 6 months after synopsis submission
- If not submitted in time, synopsis fees must be paid again
- RRC is called for Referee selection & correspondence
- *Viva voce* to be held within 2 months of thesis submission

RULES AND REGULATIONS FOR THE AWARD OF Ph.D. DEGREE

These regulations shall apply to the Constituent Colleges and Schools of HSNC University, Mumbai from the Academic Year 2024-2025. They have been formulated in accordance with UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022 and subsequent amendments *vide* its notification dated 27.03.2024.

ELIGIBILITY CRITERIA FOR ADMISSION TO THE Ph.D. PROGRAMME

As per UGC Regulations 2022, the following are eligible to seek admission to the Ph.D. programme: Candidates who have completed:

- i. A **1-year/ 2-semester Master's degree programme** (after 4 year undergraduate degree) with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions.
- ii. **2-year/ 4-semester Master's degree programme**, with the same conditions as in sub-clause 1.1 above;
- iii. A **4-year/ 8-semester Bachelor's degree** with Research with a minimum CGPA of 7.5/10.
- iv. Candidates who have cleared the M.Phil. coursework with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign institution accredited by an Assessment and Accreditation Agency which is approved, recognised or authorised by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.
- v. A person whose M.Phil. dissertation has been evaluated and recommended for award of the degree, may be admitted to the Ph.D. programme in any institution on a provisional basis even before the viva voce or final defence.
- vi. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-

abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time

- vii. **Note:** The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures, if any.
- viii. A relaxation of 0.5 score, i.e. CGPA of 7/10 or an equivalent relaxation of grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the University Grants Commission from time to time.

DURATION OF THE PROGRAMME

- i. Ph.D. programme shall be of a minimum duration of three years including coursework, and a maximum of six years from the date of admission
- ii. Extension up to a maximum period of four semesters/two years for Ph.D. shall be given by the concerned Research and Recognition Committee (RRC) on the recommendation of the Guide and the respective Research Advisory Committee (RAC). The candidate concerned shall apply for extension through their Research Guide and Head of the Research Centre three months before the expiry of the registration period. A further extension to the Ph.D. tenure, for not more than two years, maybe granted only by the Vice Chancellor on a case to-case basis. Total period for completion should not exceed eight (8) years from the date of admission to the Ph.D. program
- iii. Women candidates and persons with disability (more than 40% disability) may be allowed an additional relaxation of two years for Ph.D. in the maximum duration. Also, women candidates may be provided maternity leave once in the entire duration of Ph.D. for up to 240 days. Total period for completion should not exceed ten (10) years from the date of admission to the Ph.D. program.
- iv. For student exchanges, provided that they contribute significantly to the completion of the thesis, leave of absence may be granted from the second year onwards on the following basis:
 - a. research expeditions (less than one month): authorised by the Guide and head of the department;
 - b. research missions (more than one month): proposed by the department and approved by the Research Advisory Committee for working in National/International Research Laboratories or Centres of Excellence; and

- c. exchange programmes: proposed by the department and approved by the Research Advisory Committee for working in the Institutions in India/abroad.

PROCEDURE FOR ADMISSION TO PH.D. PROGRAMMES

In accordance with University Grants Commission (UGC) notification dated 27.03.2024, HSNC University will use the National Eligibility Test (NET) scores for admission to Ph.D. Programs. However, the University will continue to hold HPET for a period of at least two years to ensure that students who do not qualify for NET get a chance to qualify for a Ph.D. programme through an entrance test. The HPET syllabus shall consist of 50% of research methodology and 50% shall be subject-specific. Please note the HPET Examination will be conducted based on the candidate's post-graduation subject. Students who have secured 50 % marks in HPET are eligible to be called for the interview. Based on the scores obtained in NET, the candidates will be eligible in three categories.

		Qualified for JRF	Assistant Prof	Ph.D. Admission
Category I	Award of JRF and appointment as Asst Prof.	Yes	Yes	Yes
Category II	Appointment as Assistant Prof and admission to Ph.D. programme	No	Yes	Yes
Category III	Admission to Ph.D. Programme	No	No	Yes

- i. Admissions under JRF Category will be done as per UGC Notification dated 7th November, 2022 published in the Gazette of India: Extraordinary no. 544.
- ii. As per UGC Notification dated 27.03.2024, for students who qualify in Categories 2 and 3, 70% weightage will be given for test scores 30% weightage for the interview for admission to Ph.D. program. The Ph.D admission will be based on the combined merit of NET marks and the marks obtained in the Interview.
- iii. The marks obtained in the NET by the candidates in Category 2 and 3 will be valid for a period of one year for admission to Ph.D. Programs.
- iv. The University shall notify on an annual basis a predetermined and manageable number of vacant seats of Ph.D., depending on the number of available research guides, prescribed quota at the department, schools, constituent colleges and academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio.

- v. The admissions shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned and taking into account the reservation policy of the Central/State Government prescribed from time to time.
- vi. The candidates with fellowships for pursuing a Ph.D. such as UGC-NET/CSIR-NET/NBHM/ or similar national-level tests shall be admitted to the Ph.D. program based on an interview.
- vii. The constitution of the **Interview Committees** shall be as follows:
 - a. The Head/Principal/Director as the case may be - Chairperson
 - b. One or two subject experts from outside, who are Ph.D. holders in the discipline;
 - c. One University/ College teacher, not below the rank of Associate Professor, from the reserved category of the faculty concerned, if any applicant is from reserved category; and
 - d. A recognised guide/s from the discipline concerned, if any applicant is from reserved category.
- viii. **The interview shall be conducted at the respective Research Centres** within 2 months of publication of HPET results and the following aspects shall be considered during the interview:
 - a. Whether the candidate has a basic knowledge of the chosen area;
 - b. Whether the candidate possesses the competence for the proposed research;
 - c. Whether the research work can be suitably undertaken at the institution/College;
 - d. Whether the proposed area of research can contribute to new/ additional knowledge/locally need based/globally significant
- ix. The Research Centres shall submit the reports of the Committee to the University (Thesis Section) within 15 days from the date of completion of the interviews.
- x. The University and the Research Centre shall publish the lists of candidates selected for admission within 30 days from the date of interview.
- xi. The University shall maintain the list of all the Ph.D. registered students on its website on an annual basis. The list shall include the name of the registered candidate, the topic of their research, name of the Guide/ co-Guide, appointment & Allocation of Research Guides/ Guides/ Co- Guides/ Co-Guides, date of enrolment/ registration, eligibility

criteria to be a Research Guide, Co- Guide, number of Ph.D. scholars permissible per Guide, etc.

- xii. An applicant whose selection is approved, shall be granted admission to the Ph.D. programme. The selected student shall complete the formalities of admission at the Research Centre by paying the prescribed fees. A Ph.D. student shall renew their registration every year in the manner prescribed and pay the fees determined by the University, until the completion of the programme.

ALLOCATION OF RESEARCH GUIDE

- i. Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D. and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D. and at least three research publications in peer-reviewed or refereed journals may be recognised as a Research Guide in the University School where the faculty member is employed or in its Constituent Colleges. Such recognised research Guides cannot supervise research scholars in other institutions, where they can only act as co-Guides.
- ii. For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognised as Guides if they fulfil the above requirements.
- iii. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Guide with reasons recorded in writing.
- iv. Co-Guides from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the Vice Chancellor.
- v. Adjunct Faculty members shall not act as Research Guides and can only act as co-Guides.
- vi. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Guide from outside the Department/School/Centre/College/University may be appointed.
- vii. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.

- viii. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the higher educational institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed and the research work does not pertain to a project sanctioned to the parent Institution/Guide by any funding agency. Such scholar shall, however, give due credit to the parent institution and the Guide for the part of research already undertaken.
- ix. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-Guide after superannuation, but not after attaining the age of 70 years.
- x. On the basis of the interview, allotment of Research Guides for selected candidates shall be decided by the University Schools/Research Centers in a formal manner depending on the number of candidates per teacher, specialisation of the Research Guides and the research interest as indicated during interview by the candidates.

RESEARCH ADVISORY COMMITTEE (RAC) FOR PH.D. STUDENTS

- i. There shall be a Research Advisory Committee (RAC) for Ph.D. students which shall be constituted by the Head of Research Centre immediately after the admissions.
- ii. The RAC has the following composition –
 - a. **Chairperson** - Head of Department or their nominee provided that the Head is a Ph.D. holder. If the Head is not a Ph.D. holder the Head of the Centre shall appoint a Senior Professor as the Chairperson
 - b. **Convenor** - Research Guide
 - c. **One or two outside expert/s** from the same field nominated by the Head of Research Centre; provided that the experts are Ph.D. holders in the same or related areas and senior faculty in teaching/research.
- iii. The candidate shall submit a six-monthly progress report of their work to the RAC through their Guide and also make a presentation before the committee once in six months. The six-monthly progress reports shall be submitted by the RAC to the Head of Centre, with a copy to the research student.
- iv. The Research Advisory Committee shall have the following responsibilities:
 - a. To review the research proposal and approve the topic of research;

- b. To guide the research scholar to develop the study design and methodology of research
- c. To periodically review and assist the research scholars in the progress of their work
- v. In case of any suggestions given by the Research Advisory Committee for improvement of the work, the candidate should make the changes accordingly.
- vi. In case the progress of the research scholar is unsatisfactory, the RAC shall record the reasons for the same and suggest corrective measures. If the student fails to implement these, the RAC may recommend to the Centre, with specific reasons, cancellation of the registration of the research student.

TOPIC APPROVAL

- i. The candidate in consultation with the Research Guide and RAC shall decide the topic of research and shall write a suitable research proposal in the format prescribed. After the completion of coursework, the candidate shall write a detailed and comprehensive proposal and shall submit, along with the application, eight copies of their research proposal.
- ii. The research proposal shall be placed before the respective Research & Recognition Committee (RRC).
- iii. The RRC shall consider the topic of research and research proposal and if satisfied shall approve the topic of research and the research proposal.
- iv. The RRC may suggest minor changes to the title and the proposal if it deems fit. Such changes will be made by the scholar in consultation with the Guide and RAC and the same shall be conveyed to the RRC.
- v. If the RRC rejects the topic and proposal, the student shall consult the RAC and the Guide and can send a fresh proposal title after three months, but within one year.
- vi. The registered student shall not be allowed to register simultaneously for any other degree course.
- vii. The candidate shall register as a student once the topic and proposal are approved by the RRC.
- viii. If the candidate desires to modify the title and the proposed plan of the research, they shall apply at least six months before the date of submission of synopsis. The RRC concerned may approve such changes based on the recommendation of the RAC, Research Guide and the Head of the Research Centre.

REGISTRATION OF PH.D. CANDIDATE

- i. The candidate shall apply to the Registrar, HSNCU, in the prescribed format for Registration, along with the prescribed registration fee.

RESEARCH GUIDES' RESPONSIBILITIES

- i. It is Guide's responsibility to ensure that all formalities described in the Ph.D. Regulations and also in the Ordinances of University are fulfilled.
- ii. The Guide's responsibilities include information and advice to scholars on all aspects related to the Ph.D. programme while focusing on guidance on academic aspects of research activities. The Guide should ensure relevant and adequate advice on important aspects such as involvement of additional Guides where deemed appropriate, whether the proposed Ph.D. project is feasible within the given time frame, whether the project has the potential to lead to new results of significantly high standard of an international level Ph.D. thesis and whether the scholar has sufficient time for rigorous work and submission of thesis.
- iii. Regular contact with the Ph.D. scholars and discussion to see if the ideas are good for the research project, guiding the scholar about the choice of relevant courses and conferences related to the field
- iv. Advising the scholar to contact national and international organisations in the area of research
- v. Assisting the scholar on the incorporation of knowledge dissemination elements in the course of research.
- vi. Regular review and feedback on the Ph.D. project
- vii. Preparation of the final statement from the Guide summarizing the overall Ph.D. programme at the time of Ph.D. thesis submission

COURSE WORK

CREDIT REQUIREMENTS, NUMBER, DURATION, SYLLABUS, MINIMUM STANDARDS FOR COMPLETION ETC.

- i. Credit requirement for the Ph.D. programme will be 16 credits. There shall be a common coursework of 8 credits for research scholars across disciplines. There shall be 8 credits of discipline specific coursework to be decided by the concerned Board of Studies and Research Centre.
- ii. The coursework shall be treated as a prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, qualitative methods, computer applications, research ethics and review of published research in the relevant field, fieldwork, etc.
- iii. Students who register for Ph.D. directly from four-year undergraduate with research will have to undertake 6-8 credit courses (at Ph.D. level) about relevant skills/research techniques/domain-specific subjects offered by the University.
- iv. All Ph.D., entrants irrespective of discipline, shall be required to take credit-based courses in teaching/education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral training period. Other courses shall be advanced-level courses preparing the students for the Ph.D. degree. Lifelong learners/ accomplished researchers as evinced from their original contributions in terms of patents granted or new relevant knowledge or/and artistic practices desirous to get a research degree, the Research Advisory Committee may provide choices in selecting the courses/credits that facilitate the entrepreneur in the monetisation of IP thus generated.
- v. Credits earned for completed course work are transferable from one institution to another institution through the Academic Bank of Credits.
- vi. Ph.D. scholars may also have 4-6 hours per week of actual teaching experience gathered through teaching assistantships or other forms of knowledge dissemination that are not repetitive.
- vii. All dissemination activities including external presentations and posters, popular articles conveying scientific information (or scientific articles) to the general public, production of books, commissioned research and internal presentations, must be approved by the departmental level Research Advisory Committee. Teaching for the

department, supervision of fellow students/technical staff, dissemination tasks can also be credited as knowledge dissemination and as a work commitment.

- viii. All courses prescribed for Ph.D. coursework shall conform with the credit hour instructional requirement and shall specify the content, instructional and assessment methods. They shall be duly approved by the authorised academic bodies.
- ix. All candidates admitted to the Ph.D. programmes shall be required to complete the coursework prescribed by the Department during the initial one or two semesters.
- x. Candidates already holding M.Phil. degree and admitted to the Ph.D. programme, or those who have already completed the coursework in M.Phil. and have been permitted to proceed to the Ph.D. in an integrated course, may be exempted by the Department from the Ph.D. coursework.
- xi. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. coursework prescribed by the department. Provided that, the candidates sponsored/authorised by the Govt. of India institutions/organisations who work for their Ph.D. degree in a University under an MoU/exchange programme/Govt. of India scheme, etc. may be exempted from Ph.D. coursework provided they produce a certificate of completing a course work of equivalent duration/credit from their respective institution/organisation.
- xii. The courses shall be arranged formally by the University through University Departments and also at identified Institutions where experts in the areas of coursework shall deliver the requisite number of lectures. Record of attendance of the students shall be kept. 75 percent attendance is mandatory for coursework.
- xiii. The Research Centre where the student pursues research shall prescribe the courses relevant to the student based on the recommendation of the RAC.
- xiv. The course work may be completed either at the research centre/s or University departments or premier institutions but not limited to IIT, BARC, TISS, ICSSR, TIFR, IIM etc provided it is conducted formally.
- xv. Grades in the coursework, including research methodology courses shall be finalised after a combined assessment by the Research Advisory Committee and the Department and the final grades shall be communicated to the University Schools/ Constituent Colleges.
- xvi. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 10-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the coursework in order to be eligible to continue in the programme and submit the thesis.

EVALUATION AND ASSESSMENT METHODS, MINIMUM STANDARDS/CREDITS FOR AWARD OF THE DEGREE ETC

- i. Upon satisfactory completion of course work and obtaining of a minimum of 55% of marks or its equivalent grade in the UGC 7 /10 -point scale, the Ph.D. student shall be required to submit a detailed and comprehensive research proposal to RRC for topic approval. Once the topic is approved the scholar shall undertake research work and produce a draft thesis before completion of minimum three years and maximum six years from the date of admission. Women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration.
- ii. Colloquium: At least three months before the submission of the thesis, the student shall make a presentation (Colloquium) before the Research Advisory Committee (RAC), which shall also be open to all members and other research students. The feedback and comments from them may be suitably incorporated into the draft thesis in consultation with the RAC.
- iii. Synopsis: The candidate shall submit to the University ten copies of their “synopsis’ through their Research Guide at least two months before submission of the thesis.
- iv. Research Publications: Ph.D. students are required to publish at least one research paper in scopus indexed journals or journals of repute and make two paper presentations in conferences/seminars before the submission of the thesis and submit certificates/acceptance letter from the publisher/editor.
- v. The publications must have affiliation as Department/School, Full name of College, HSNL University, Mumbai-PIN, India.
- vi. Before submission of the Ph.D. thesis, every candidate shall fulfil the requirements prescribed concerning the detection of plagiarism and any other form of academic dishonesty as per the provisions of UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Education Institutions, Regulations 2017).
- vii. The thesis shall be submitted together with an originality report produced by an anti-plagiarism software application. The Guide (and co-Guide, if there is any) shall receive an originality report on the whole text of the thesis and shall take this report into account in the evaluation of the thesis.
- viii. Avoiding plagiarism and other forms of academic misconduct in the authorship of the thesis remains the sole responsibility of the researcher. If the Research Guide (or Co-Guide) suspects plagiarism, they may ask for an investigation.

- ix. While submitting for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Guide attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- x. The Ph.D. thesis submitted by a research scholar shall be evaluated by the Research Guide and at least two external examiners, who are experts in the field and not in employment of the Institution. Examiner(s) should be academics with a good record of scholarly publications in the field. Out of the two external examiners, one must be from outside the state in which the institution is located. Where possible, one of the external examiners may preferably be chosen as a distinguished academician, not below the rank of Associate Professor or equivalent, from India or outside India. The *viva voce* examination based, among other things, on the critiques given in the evaluation report, shall be conducted by the Research Guide and at least one of the two external examiners and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- xi. If the research results of the thesis constitute new possible things for the protection of intellectual property rights (IPRs), the Ph.D. candidate and Guide shall inform the University or the Research Advisory Committee about the matter. In this case, the Ph.D. candidate, with the consent of the Guide, may request that the submitted dissertation be treated discreetly before the thesis is submitted for assessment, until the defence/*viva voce*.
- xii. The IPR Cell or the competent body of the university designated for the purpose shall conduct the procedure for legal and commercial protection of research results, in accordance with the relevant Regulations. In this case, the public defence can be extended, in agreement with the Ph.D. candidate, at the latest for a year, starting on the day of the procedure of evaluation of the dissertation. Request for extension of defence/*viva voce* must accompany the Certificate of the Technology Transfer from the competent authority

APPOINTMENT OF REFEREES FOR Ph.D. THESIS

The process of appointment of referee shall be prescribed by the Director of Board of Examinations and Evaluation in consultation with the Research and Development Cell.

The following persons should not be suggested as a referee:

1. Blood relative of the guide or candidate
2. Past student of the same guide
3. Retired teacher from HSNC University, Mumbai
4. Person having qualification lower than the degree for which the appointment is being made
5. Member of the respective Research Recognition Committee
6. At least one of the first two referees shall be from a state other than Maharashtra
7. There shall be no bar on a foreign referee

SUBMISSION OF THE THESIS

- i. The thesis/ dissertation shall be submitted in the prescribed format in spiralbound/hard bound form (with bold embossed printing) and printing on both sides of a paper, along with a softcopy (pen drive).
- ii. The Ph.D. candidate shall submit three copies of their thesis.

CHECKLIST BEFORE SUBMISSION

(After TWO months but before SIX months of Synopsis Submission)

- i. Application Form
- ii. Thesis 1 Hard Copy (1 Copy in Pendrive)
- iii. If completed 6 years after admission at Research Centre then extension letter issued by the University
- iv. Bachelor's All Semesters Mark sheets & Convocation Certificate
- v. Master's All Semesters Mark sheets & Convocation Certificate

- vi. If Master Degree from other University - Confirmation of Eligibility
- vii. Course Work Completion Certificate
- viii. Plagiarism Report (Similarity Report) - Univ. specified software
- ix. If Guide has retired - Guide Continuation letter
- x. If name has been changed - Gazette Copy
- xi. Copies of RAC reports and attendance sheets for every six months
- xii. At least one research paper published in a refereed and cited Journal and two papers presentations in Conference/ Seminars.

EVALUATION OF THE THESIS

The Ph.D. thesis submitted by a research scholar shall be evaluated by the Research Guide and two external examiners.

VIVA - VOCE

- i. The *viva voce* of Ph.D. candidates shall be in the form of Open Defense of the Thesis.
- ii. The *viva voce* of the research scholar to defend the thesis shall be conducted only if the evaluation report(s) of the examiner(s) on the thesis recommends acceptance. If one of the evaluation reports of the examiner in case of a Ph.D. thesis, recommends rejection, the institution shall send the thesis to an alternate examiner out of the approved panel of examiners and the *viva voce* examination shall be held only if the report of the alternate examiner is satisfactory. If the report of the alternate examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.
- iii. The entire process of evaluation of Ph.D. thesis including the declaration of the viva-voce result should be completed within a period of six months from the date of submission of the thesis.
- iv. The *viva voce* shall be conducted by the viva voce committee.
- v. The *viva voce* board shall consist of the Research Guide and at least one of the two external examiners and may be conducted online.

- vi. The *viva voce* shall be open to the members of the RAC, all faculty members of the Centres, other research scholars and other interested experts / researchers.
- vii. The *viva voce* shall include a brief presentation by the candidate concerning the research work done and the candidate should be tested for their knowledge in the research/subject area concerned and, on the critiques, given in the evaluation reports.
- viii. If the evaluation report of the external examiner or one of the evaluation reports of the external examiners is unsatisfactory and does not recommend *viva voce*, the thesis shall be sent to subsequent external examiner, out of the approved list of examiners and the *viva voce* shall be held only if the report of the latest examiner is satisfactory. If the report of the examiner is unsatisfactory, the thesis shall be rejected and the research student shall be declared ineligible for the award of the degree.
- ix. The day, date, time and the place for the *viva voce* shall be notified by the Head of the Centre, at least eight days in advance.
- x. When the Head of the Research Centre or Head of University Department are guides for the Ph.D. students the *viva voce* committee shall be appointed by the Dean of the Faculty concerned.
- xi. In case of dispute, the Dean of the Faculty concerned shall take an appropriate decision.
- xii. If neither of the external examiners can be present at the time of the *viva voce*, the Vice-Chancellor, on the recommendation of the Research Guide and the Dean of Faculty concerned, may appoint a senior Research Guide to act as an external examiner for the *viva voce*.
- xiii. In case the Research Guide concerned is not available for the *viva voce*, the Vice-Chancellor, on the recommendation of the Dean of Faculty concerned and the Head of the Centre, may appoint one of the senior Research Guides.
- xiv. The *viva voce* committee shall evaluate the performance of the candidate and make a consolidated report signed by all the members along with the reply given to the queries raised by the external examiners and submit it to the University.
- xv. This report,, signed by all the members of the committee, shall be included in the thesis at the beginning. Further, along with the report a list of the persons attending the open defense (attendance sheet) shall also be submitted to the University.
- xvi. In case the *viva voce* is not satisfactory, the examiners may unanimously recommend, with reasons, that a fresh *viva voce* be organised within not less than one month. If the defense is still not satisfactory, the panel should record the reasons for the same. The committee may recommend the resubmission of the thesis with suggested changes or recommend the rejection of the thesis.

- xvii. The higher educational institution concerned shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva voce result, within a period of six (6) months from the date of submission of the thesis.

Ph.D. THROUGH PART-TIME MODE

Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

- i. The Higher Educational Institution concerned shall obtain a “No Objection Certificate” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organisation where the candidate is employed, clearly stating that:
 - a. The candidate is permitted to pursue studies on a part-time basis.
 - b. His/her official duties permit him/her to devote sufficient time for research.
 - c. If required, he/she will be relieved from the duty to complete the course work.

PROVISIONAL CERTIFICATE

Before the actual award of the degree, the University shall issue a Provisional Certificate to the effect that the degree has been awarded from the date of *viva voce* following the provisions of University Grants Commission (Minimum Standards and Procedure for Award of M.Phil/Ph.D. Degrees) Regulations, 2022

DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Higher Educational Institution concerned shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.

GUIDELINES FOR WRITING THE RESEARCH PROPOSAL

Please follow the requirements carefully

1. Please send the proposal as a PDF attachment.
2. The proposal may not exceed 1000-1200 words (excluding References; about 2 typed pages (single spaced) or 4 typed pages (double spaced)).
3. At the top of your document, please include the following: (i) Name as on the application form (ii) the PRN number (iii) name of Research Centre and Research Guide
4. You are expected to write your proposal to address the questions listed below. (You are not required to respond equally to all the questions but you are encouraged to address each question separately and to follow the order as far as possible. The reviewers will assess your responses with reference to these questions.)
 - a. What is the research topic that you propose for your doctoral work?
 - b. Identify the main issues or research questions, as well as the field(s) of study that interest you.
 - c. Use examples to illustrate your research questions
 - d. If you are interested in fieldwork, in specific theoretical areas or problems state which and why.
 - e. Discuss salient aspects of your coursework that (may) have a bearing on what you wish to investigate.
 - f. Anything else that you think is relevant to your proposal.

Please provide a reference list of any work you cite in any standard reference style such as MLA, APA etc.

RESEARCH ADVISORY COMMITTEE CONSTITUTION FORM

Constituent College/ School: _____ Dated: _____

On the suggestion of the Guide(s) for the Research Scholars (names below), the RESEARCH ADVISORY COMMITTEE for the scholar is being constituted.

a) Name of the Scholar: _____

b) Roll No.: _____ (office use)

c) Date of joining the Ph. D programme: _____

d) *Category under which admitted: _____

{*Choose any one category: Institute / Sponsored / Project}

THE COMPOSITION OF THE RESEARCH ADVISORY COMMITTEE:

A. Head of Research Centre _____

B. Guide(s): _____

C. Two experts

Forwarded by: _____

Head of Research Centre: _____

The composition of the Research Advisory Committee as proposed above may kindly be approved.

Ph.D. PROGRESS REPORT

i. Name of Scholar: _____

ii. PRN No: _____

iii. Date of commencement of Doctoral Programme: _____

- iv. Date of Award of Scholarship (if applicable): _____
- a. Name of Guide: _____
- v. RAC Members: _____
- vi. Last RAC meeting held on: _____
- vii. Topic of Research: _____
- viii. Number of days the scholar remained out of station for field work travel with dates and places visited: _____
- ix. Publications during the period under report: _____
- x. Title of the article/paper _____
- xi. Teaching work done during the period under report: _____

A detailed account of the work done during the period (a separate sheet may be attached for the purpose)

Research Phase	Status as per last report	Progress and current status
Preparation of Research Proposal, Seminar Presentation		
Data Collection		
Data Analysis		
Writing of Thesis		

Name and signature of the Scholar: _____

Name and Designation of the Guide: _____

Name of Research Centre: _____



**ANNEXURE A:
APPLICATION FOR ADMISSION TO PH. D DEGREE
PROGRAM.**

To,

The Registrar
HSNC University, Mumbai

Dear Sir/ Madam,

I have attended the interview on _____ conducted by the HSNC University, Mumbai for admission to Ph.D. Degree Program in the subject of _____ and I have been selected for admission to Ph.D. degree in the subject of _____ under the Guideship of _____. I have received offer letter No. _____, dated _____ (copy enclosed).

My details are as under.

(1) Name of the Research Scholar (in Capital, beginning with Surname)

(1a) Name as per Aadhar Card: _____

(1b) Aadhar Card Number: _____

(1c) Date of Birth: _____ (1d) ABC ID No.: _____

(2) Sex: Male/Female/Transperson _____ (3) Nationality: _____

(4) Religion: _____

Buddhist Christian Jain Muslim

Parsi Sikh Other: _____

(5) Name and Designation of Research Guide:

(6) (a) Qualifying Degree: _____ (b) Year of Passing: _____

(c) Subject: _____ (d) University: _____

(7) (a) HPET/NET: Appeared/Exempted (b) Month and Year of Passing: _____

(c) Subject: _____ (d) HPET Result: _____

(8) Address for correspondence: _____

(9) Email Address: _____ Mob. No. _____

(10) Academic Record (Starting from Bachelor's Degree)

Degree	Board/ University	Month & Year of Passing	Subject/s	Percentage/ CGPA/ Class

(11) Additional Qualifications, if any _____

(12) Experience, if any : _____

Yours truly,

Date: _____

Signature of the Research Scholar

Statement from the Research Guide

I hereby accept the guideship of _____
to the Ph.D. programme in _____ (Subject) at HSNC University, Mumbai.
The scholar is not related to me and I do not have any conflict of interest with the research
scholar. At present I have _____ Ph.D _____ Masters (by research) students admitted
under my supervision. My date of superannuation is _____. The information
given above by the scholar and by me is correct to the best of my knowledge and I recommend
the scholar for admission to the Ph.D. program.

Place: _____

Signature of research Guide

Date: _____

Name: _____

Contact details of Guide

Email Add: _____

Mob No. _____

Enclosure:

1. Marksheet of Bachelor, Master and any other relevant examinations
2. PET/NET/GATE/SET/M.Phil score card
3. Offer letter
4. Aadhar Card



ANNEXURE - B: APPLICATION FOR APPROVAL OF THE TOPIC

Application for approval of the topic of the thesis for the degree of Doctor Philosophy
(Ph.D.)

Faculty: _____

Subject: _____

Topic of the Thesis _____

Admission Fee Receipt No. _____ Date _____

.....

To,
The Director,
Board of Examinations & Evaluation,
HSNC University, Mumbai,
Worli, Mumbai.

Sir/ Madam,

I hereby apply for the approval of the topic of thesis for the Ph.D Degree programme. I state that I have not been admitted as a student for any other degree in this university or for any degree in any other university. I am giving below particulars about myself:-

1. Full Name (Capital): _____

(Surname)

(First Name)

(Father's/Husband's name)

(Mother's Name)

2. Date of Birth: _____
3. (a) Male/Female/ Transperson: _____ (b) Nationality: _____
(c) Married /Unmarried: _____
4. Local address: _____

5. Mob. No.: _____
Email ID: _____
6. Permanent address: _____

7. Whether belong to reserve category, if so, give details:

8. Present Occupation/Employment: _____
(Give the name, address and telephone number of the employer): _____

9. Particulars of qualifications: —

	University	Year of Passing	Subject (s) offered	Class Grade	Percentage Grade Points
Bachelor's Degree					
Master's Degree					
M.Phil. Degree					
Any other Degree/ Diploma					

10. Have you gained any professional experience in the subject after obtaining Master's Degree. If yes, give details (Attach necessary certificates)
- Name of the institution where professional experience was gained:

 - Period of professional experience:

 - Nature of professional experience:

11. Name of the post-graduate recognised teacher under whom you propose to work for your Ph.D. Course: _____

12. Name and address of the School/ Constituent college where you desire to register for the course: _____

All the particulars given above are true. I have read the rules for the Ph.D. Degree and I, hereby undertake to abide by them strictly. I hereby submit 15 copies of the outline of my topic of thesis.

Yours faithfully

Place : _____

Date :

(Signature of the Applicant)

.....

Report of the Ph.D. Guide

I am willing to supervise _____ for the Ph.D. Degree course.

I have _____ Ph.D. and _____ Master’s Degree (By Research) Students working under my guidance at present. (Give number of students in each category)

Name, designation and address: _____

Tel. No. (if any) _____

Reference No. of recognition letter : _____

Date of superannuation: _____

Mob. No. _____ Email ID: _____

Date: _____

Full Name and Signature of Ph.D. Guide _____

Forwarded to the Board of Examinations and Evaluation, HSNC University, Mumbai for necessary action.

Date of recognition, period and intake capacity of Department / Constituent College
Department: _____



Signature of Principal of Constituent College / Director
/ Head of University School

N.B.

- (1) True copies duly attested by the competent authority should be appended to this application.
- (2) While counting the total number of research students, the guide should include all the research students registered under them.
- (3) Submit the 05 copies of the outline of topic of thesis duly signed by student and post-graduate recognised teacher.

List of documents:

- Interview Report
- Offer Letter
- Admission Fee Receipt
- PET/NET/SET Certificate
- UG Sem.V & VI marksheet / Convocation Certificate
- PG Sem. III & IV marksheet / Convocation Certificate
- If name has changed – Marriage Certificate



ANNEXURE - C: FORM OF APPLICATION FOR REGISTRATION FOR THE DEGREE OF Ph.D.

N.B.:

1. No Student will be allowed to appear for examination unless they have taken the qualifying Bachelor's or Master's degree as the case may be.
2. Persons who hold qualifying degrees of other University have to obtain a provisional statement of eligibility from this University before applying for registration as an Ph.D. research scholar.
3. The registration fee must accompany the form.

.....

To,

The Director
Board of Examinations and Evaluation
HSNC University, Mumbai

Dear Sir/ Madam,

I have been admitted as a Ph.D. research scholar in _____ under the supervision of _____ on (date) _____. I have cleared HPET/ am exempted from HPET. My research proposal has been duly approved by the University. I am appending a copy of the approved research proposal and the approval letter. Kindly register me as a Ph.D. Research Scholar of the HSNC University, Mumbai in the faculty of _____ and in subject of _____.

Title of Research Topic:

Date of Approval of research proposal: _____

Yours truly,

Date: _____

Signature of the Research Scholar

RESEARCH SCHOLARS PROFILE

(1) Name of the Research Scholar. (in Capital, beginning with Surname)

(2) Name of Research Centre and Address :

(3) Gender: Male/Female/Transgender _____ (4) Nationality _____

(4) Religion _____

(5) Name and Designation of Research Guide:

(6) Date of admission: _____ Receipt No. _____

(7) (a) Qualifying Degree: _____ (b) Year of Passing: _____

(c) Subject: _____ (d) University: _____

(8) (a) NET/SET/HPET/PET: Appeared/Exempted (b) Month and Year of Passing: _____

(c) Subject: _____ (d) PET Result: _____

(9) Any Scholarship/Fellowship Awarded: _____

(10) Address for correspondence:

12) Email Address: _____ Mob. No. _____

Academic Record (Starting from Bachelor's Degree)

Degree	Board/ University	Month & Year of Passing	Subject/s	Percentage/ CGPA/ Class

Whether you are related to the Guide. If yes, kindly state the exact relation

Particular of provisional statement of eligibility issued by this University (This is for students who are seeking registration on the basis of qualifications obtained in other Universities)	Eligibility Case no.	No. of Provisional Statement of Eligibility	Date of Issue	Name of the course for which issued

Additional Qualifications, if any _____

Experience: _____

All the particulars given above are true.

Date: _____

Signature of the Research Scholar

STATEMENT FROM THE RESEARCH GUIDE

I hereby certify that the Research Scholar is duly admitted to the Ph.D. programme in _____ (Subject) under my supervision in _____ (Research Centre): on _____. The Research Scholar's research proposal has been approved by the respective RRC and the scholar is applying for registration as a Ph.D. student of the HSNC University, Mumbai. The scholar is not related to me and I do not have any conflict of interest with the Research Scholar. At present I have _____ Ph.D. _____ M.Phil. and _____ Masters (By research) students admitted under my supervision. My date of superannuation is _____. The information given above by the scholar and by me is correct to the best of my knowledge and I recommend the scholar for registration into Ph.D. programme.

Place: _____

Signature of research Guide

Date: _____

Name: _____

Contact details of Guide

Email Add: _____

Mob No. _____

CERTIFICATE FROM THE HEAD OF RESEARCH CENTRE

The Ph.D. research scholar _____ is a Bonafide Student of this Centre and is duly admitted to the Ph.D Programme. I am hereby forwarding the Research Scholar's application for registration as a Ph.D. student of HSNC University, Mumbai

Email: _____

Mobile: _____

Place: _____

Date: _____

Signature of Head of Research Centre

SEAL

Enclosure:

1. Marksheet of Bachelor, Master and any other relevant examinations
2. HPET/PET/NET/GATE/SET/M.Phil Marksheet
3. Admission letter
4. Topic approval letter
5. Marriage Certificate, if change in name
6. Provisional Eligibility Certificate, if Student is from other than HSNC University, Mumbai.



ANNEXURE - D: Ph.D. SYNOPSIS SUBMISSION FORM

To,

Director,
Board of Examinations and Evaluation.
HSNC University, Mumbai - 400 018.

Dear Sir/ Madam

I offer myself as a candidate for the Degree of Doctor of Philosophy in the Faculty of _____ in the subject of _____

I am submitting Five copies of the synopsis of my thesis on _____

Please find enclosed a signed statement showing how my work is based on new facts and how it contributes to the advancement of knowledge. I also append herewith, a statement indicating the sources I consulted for my research including those parts that are completely original.

For the thesis which I intend to submit, no degree or diploma or distinction has been conferred on me before, either in this or in any other University or body.

In further support of my application, I intend to submit the following published original research work done by me independently/jointly with _____ on the subject of my thesis.

I certify that the statements are correct. A copy of the statement will be included in the thesis.

Yours faithfully,

Date: _____

Signature: _____

RESEARCH PROFILE OF THE CANDIDATE

Name in CAPITAL letters: _____
(Surname First)

Gender: _____ Nationality: _____

Constituent College/ University School where the candidate has worked for the degree

Date of Admission: _____

Registration No. & Date of Registration: _____

Date of passing the examination on the basis of which you were registered for Ph.D.
Degree Program: _____

Name of the PG Examination: _____

Month & Year of Passing the PG Examination: _____

Class/Grade/CGPA obtained at the Examination: _____

Name of the University: _____

Date of conferment of PG degree at the Convocation: _____

Residential Address:

Email ID _____ Mobile No. _____

List of documents to be submitted.

- Five Copies of Synopsis duly signed by the Research Guide and Head of the Research Center
- Topic Approval Letter
- UG and PG Degree Certificate
- HPET/PET/NET/SET/GATE Certificate
- List of Published Papers
- Course Work Completion Certificate
- Progress Report
- Soft copy (PDF Format) of synopsis duly signed by the Research Guide and the Head/Principal of Research Center to be forwarded by the Research Guide to 'coordinator.gad@hsncu.edu.in'

CERTIFICATE OF RESEARCH GUIDE (TO BE SUBMITTED BY THE CANDIDATE)

I certify that the thesis to be presented by _____ represents the Research Scholar's original work which was carried out at _____ under my guidance and supervision during the period from _____ to _____ .

The date of my superannuation _____

I have retired/ opted for voluntary retirement from services/ resigned on _____. I have been permitted to guide *vide* your office letter No. _____, dated _____ for the period of _____ years. (Please give detail period)

I further certify that the above statements are correct.

Tel. Office _____

Mobile No. _____

Email ID _____

Residential Address

Note: I will forward the soft copy of the synopsis of the concerned candidate at coordinator.gad@hsncu.edu.in

Place _____

Signature of the Research Guide

Date _____

Name of the Research Guide

**INFORMATION TO BE SIGNED BY THE HEAD/PRINCIPAL/DIRECTOR OF
THE UNIVERSITY DEPARTMENT/ CONSTITUENT COLLEGE**

_____ has paid the University's share of the tuition fee for all terms from the date of their registration as a student for the Ph. D. degree course.

I certify that the information given by the student and the Research Guide are correct as per my knowledge and belief.

Email _____

Mobile _____

Place _____

Date _____

Signature _____

(HEAD/PRINCIPAL/DIRECTOR)



ANNEXURE - E: THESIS SUBMISSION FORM FOR RESEARCH STUDENTS

(PART I)

To,

The Director,
Board of Examination and Evaluation.
HSNC University, Mumbai – 400 098.

Sir,

I am submitting herewith two/ three copies of thesis titled

for the _____ degree in the subject of _____

for evaluation. Further, this is to state that I have obtained the _____
degree awarded by _____ University in the convocation held
on _____ (copy enclosed).

Date of Admission	
Date of Registration	
Registration No.	
Mobile No.	
Email ID	

Date _____

(Signature of the candidate)

Place: _____

Full Name of the Candidate: _____

(PART II)**Declaration By Guide**

I certify that the candidate has worked under my guidance at _____
University School/ Constituent College, from the date of admission till the date of submission
of the thesis for the degree.

The date of my superannuation _____

I have retired/ opted for voluntary retirement from service / resigned on _____. I
have been permitted to guide *vide* your office letter No. _____ dated _____
for the period of ___ Years and _____ months.

Mobile No.	
Email ID	

Date _____

(Signature of Guide)

Place _____

Full Name of the Guide _____

Current designation _____



ANNEXURE - F: PAYMENT OF FEES

Date :

To,

The Director,
Board of Examination and Evaluation.
HSNC University. Mumbai.

Sir,

This is to certify that _____
has paid the University share of the tuition fee and synopsis fee for the _____
Degree examination in the subject _____ registered through
_____ University School/ Constituent College amounting to
₹ _____ and the said amount has been sent
to the University.

- Registration No. & Date _____
- Synopsis submitted on _____ *vide* Challan No. _____
dated _____
- Fresh examination fee paid *vide* Challan No. _____
dated _____
- Thesis submitted _____

(Head of the Research Center)

ANNEXURE G: FORMAT FOR FRONT PAGE OF THESIS

<p>Ph.D.</p> <p>NAME</p> <p>Month & Year</p>	 <p>Title of Thesis</p> <p>A Thesis submitted to the HSNC University, Mumbai for the</p> <p>Ph.D. (Faculty) Degree in (Subject)</p> <p>Name of the Candidate</p> <p>Under the guidance of (Name of the Guide)</p> <p>Name of the Research Centre</p> <p>Month and Year</p>
Side	Front Cover
<p>Faculties: Faculty of Science & Technology; Faculty of Commerce & Management; Faculty of Humanities & Social Sciences; Faculty of Interdisciplinary Studies</p>	

